Docket No.

244288US0DIV

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroyuki ISHIWATA, et al.

SERIAL NO:

New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

**BIS (5-ARYL-2-PYRIDYL) DERIVATIVES** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. All of the listed references are of record in prior application Serial No. 09/893,698, filed June 29, 2001.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSFADT, P.C .--

Norman F. Oblon

Registration No. 24,618

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22850

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Frederick D. Vastine, Ph.D. Registration No. 27,013

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| Form PTO 1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |   |               | ATTY DOCKET NO.  |                 | SERIAL NO.           |   |               |  |  |  |
|---------------|---|---|---------------|--|-----------------|----------------------|---|---------------|--|--|--|
| (Modified)    |   | PATENT AND TRA  | DEMARK OFFICE | 244288US0DIV   | New Application |                      |   |               |  |  |  |
|               |   |   |               | APPLICANT  |                 |                      |   |               |  |  |  |
| LIST OF       | REFE  | RENCES CITED BY AF  | PLICANT       | Hiroyuki ISHIWATA, et al.  |                 |                      |   |               |  |  |  |
|               |   |   |               | FILING DATE  |                 | GROUP                |   |               |  |  |  |
|               |   |   |               | Herewith   |                 |                      |   |               |  |  |  |
|               |   |   |               | U.S. PATENT DOCUMENTS  |                 | -                    |   |               |  |  |  |
| EXAMINER      |   | DOCUMENT  | DATE          | NAME   | CLASS           | SUB                  |   |               |  |  |  |
| INITIAL       |   | NUMBER  | - DATE        | NAME   | 00.00           | CLASS IF APPROPRIATE |   |               |  |  |  |
|               | AA  |   |               |  |                 |                      |   |               |  |  |  |
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|               |   | ·   | FO            | REIGN PATENT DOCUMENTS   |                 | <u></u>              |   | <del></del> - |  |  |  |
|               |   | DOCUMENT  | 1             |  |                 | <u> </u>             | TRANSLA                                       | TION          |  |  |  |
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| Examiner      |   | Date Considered   |               |  |                 |                      |   |               |  |  |  |
|               |   |   |               | t citation is in conformance with MPEP 6 with next communication to applicant. | 09; Draw li     | ne through           | citation if                                   | not in        |  |  |  |

| Form PTO 1449                           |                          | U.S. DEPARTMENT  | OF COMMERCE   | ATTY DOCKET NO.   |   | SERIAL NO. NEW APPLICATION           |                    |  |  |  |
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| (Modified)                              |                          | PATENT AND TRAI  | DEMARK OFFICE | 244288US0DIV  |   |                                      |                    |  |  |  |
|   |                          |  |               | APPLICANT   |   |                                      |                    |  |  |  |
| LIST OF REFERENCES CITED BY APPLICANT   |                          |  |               | Hiroyuki ISHIWATA, et al.   |   |                                      |                    |  |  |  |
|   |                          |  |               | FILING DATE   |   | GROUP                                |                    |  |  |  |
|   |                          |  |               | HEREWITH  |   |                                      |                    |  |  |  |
|   |                          |  | !             | U.S. PATENT DOCUMENTS   |   |                                      |                    |  |  |  |
| EXAMINER<br>INITIAL                     |                          | DOCUMENT<br>NUMBER   | DATE          | NAME  | CLASS                                   | SUB FILING DATE CLASS IF APPROPRIATE |                    |  |  |  |
|   | AAA                      |  |               |   |   |                                      |                    |  |  |  |
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| -                                       | AAD                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAE                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAF                      |  |               |   |   |                                      |                    |  |  |  |
| •                                       | AAG                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAH                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAI                      |  |               |   |   |                                      |                    |  |  |  |
| *************************************** | AAJ                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAK                      |  |               | ·   |   |                                      |                    |  |  |  |
|   | AAL                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAM                      |  |               |   |   |                                      |                    |  |  |  |
|   | AAN                      |  |               |   |   |                                      |                    |  |  |  |
|   |                          |  | FO            | REIGN PATENT DOCUMENTS  |   |                                      | -                  |  |  |  |
|   |                          | DOCUMENT<br>NUMBER   | DATE          | COUNTRY   |   | TRANSLATION YES NO                   |                    |  |  |  |
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|   | AAW                      | WO 00/05198  | 02/03/00      | WIPO (w/English abstract)   |   |                                      | xx                 |  |  |  |
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|   | AAY                      |  |               |   |   | •                                    |                    |  |  |  |
|   | AAZ                      |  |               |   | Additional References sheet(s) attached |                                      |                    |  |  |  |
| Examiner                                | Examiner Date Considered |  |               |   |   |                                      |                    |  |  |  |
|   |                          |  |               | t citation is in conformance with MPEP 60 with next communication to applicant. | 09; Draw li                             | ne through                           | citation if not in |  |  |  |